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 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/841,298	04/24/2001	Scott L. Wellington	5659-06900

CONFIRMATION NO. 3893

## FORMALITIES LETTER



\*OC00000006225505\*

 DEL CHRISTENSEN  
 SHELL OIL COMPANY  
 P.O. BOX 2463  
 HOUSTON, TX 77252-2463

Date Mailed: 06/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$105202.
  - \$92322 for 5129 total claims over 20.
  - \$12880 for 161 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 106042.

09/20/2001 00000050 501505 09841298

01. Filing Fee	710.00 CH
02. Basic Fee	72.00 CH
03. Filing Fee	84.00 CH
04. Filing Fee	130.00 CH

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



✓ Sector  
PATENT \$  
TH1994

In re Application of:

Wellington, et al.

Serial No.: 09/841,298

Filed: April 24, 2001

For: **IN SITU THERMAL PROCESSING  
OF A COAL FORMATION  
TO PRODUCE A MIXTURE OF  
OLEFINS, OXYGENATED  
HYDROCARBONS, AND  
AROMATIC HYDROCARBONS**

Examiner: Unknown

Group Art Unit: 1764

Atty. Docket. No.: 5659-06900/EBM

**CERTIFICATE OF MAILING**  
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Attn: BOX MISSING PARTS, Washington, D.C. 20231 on the date indicated below.

Date \_\_\_\_\_

Elda Gonzalez

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -**  
**FILING DATE GRANTED, MAILED JUNE 26, 2001**

**ATTN: Box Missing Parts**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of

**Application - Filing Date Granted:**

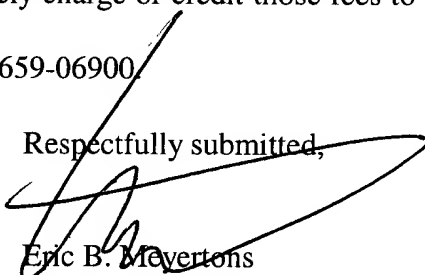
- (1) Copy of Form PTO-1533, Notice to File Missing Parts - Filing Date Granted (1 page);
- (2) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (3) Originally executed Assignment (2 pages);
- (4) Originally executed Declaration (3 pages);
- (5) Preliminary Amendment (24 pages);
- (6) Marked Up Version of Amendments (18 pages);
- (7) Marked Up Version of Amendments to Claim (2 pages);

- (8) Clean Version of Title Page (1 page);
- (9) Marked Up Version of Title Page (1 page);
- (10) Fee Authorization in the amount of \$1,790.00 to cover the filing fee for a small entity as follows (2 pages):
  - \$ 710.00 -- basic filing fee;
  - \$ 720.00 -- surcharge for excess claims;
  - \$ 80.00 -- surcharge for excess independent claims;
  - \$ 130.00 -- surcharge for late filing of declaration and fee;
  - \$ 40.00 -- assignment recordation fee;
  - \$ 110.00 -- one month extension of time fee; and
- (11) A return postcard.

Applicant respectfully requests a one-month extension of time to respond to the formalities letter mailed June 26, 2001. A fee authorization that includes \$110.00 for the one-month extension of time fee is enclosed.

If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon P.c. Deposit Account Number 50-1505/5659-06900.

Respectfully submitted,

  
Eric B. Meyertons  
Reg. No. 34,876

Attorney for Applicant

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(512) 476-1400 (voice)  
(512) 703-1250 (facsimile)

Date: 9/26/01